

# Compactifications of Manifolds

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# Overview

- ▶ Historical backgrounds of manifold completion
- ▶  $\mathcal{Z}$ -compactification
- ▶ Pseudo-collarable manifold
- ▶ The relationship between  $\mathcal{Z}$ -compactification and pseudo-collarability

# Manifolds with Boundary

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- ▶ Manifold with noncompact bdry

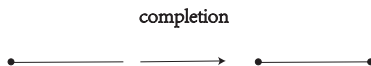


## Completion of Manifolds (a.k.a. collaring problem, missing boundary problem)

A manifold  $M$  with (possibly empty) boundary is *completable* if  $\exists$  a compact manifold  $\widehat{M}$  with boundary and a compactum  $C \subseteq \partial \widehat{M}$  such that  $\widehat{M} \setminus C$  is homeomorphic to  $M$ .  $\widehat{M}$  is called a *completion* of  $M$ .

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# 2D Manifold Completion Theorem

Theorem (G & Guilbault 2020)

*A connected 2-manifold  $M^2$  is completable iff  $H_1(M^2)$  is finitely generated.*

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This is mainly based on classical work of Kerékjártó (1923) and Richards (1963).

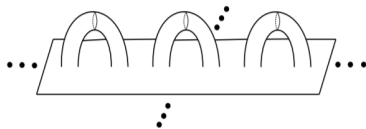
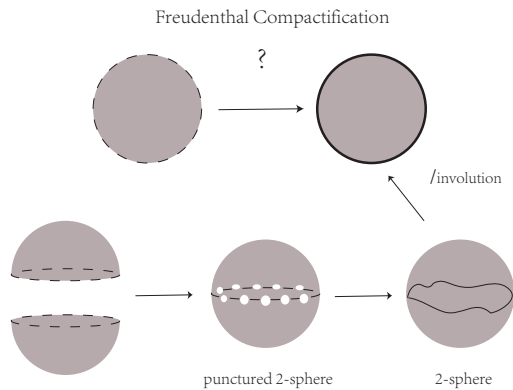


Figure: Infinite Loch Ness Monster

## Kerékjártó-Freudenthal Compactification



# Mine Fields in Higher Dimensions

Direct generalizations of Jordan curve theorem is unavailable.

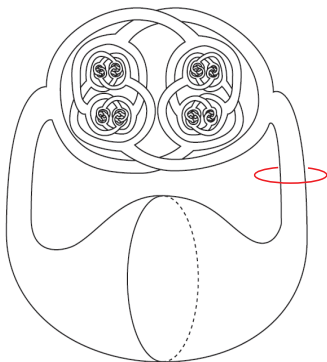


Figure: Alexander Horned Sphere

The existence of exotic open contractible 3-manifolds.

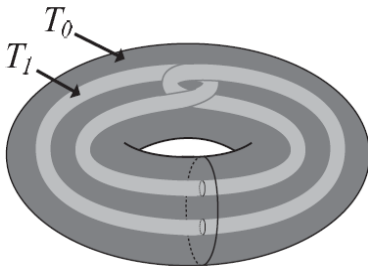


Figure: The first two stages of constructing the Whitehead Manifold

# Fundamental Group at Infinity

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Why is the Whitehead manifold not completable, i.e. not homeomorphic to  $\mathbb{R}^3$ ?

Answer: Because it's not simply connected at infinity.

## Definition

A space  $X$  is said to be *simply connected at infinity* if for all compact subsets  $C$  of  $X$ ,  $\exists$  a compact set  $D \supset C$  in  $X$  so that the induced map  $\pi_1(X \setminus D) \rightarrow \pi_1(X \setminus C)$  is trivial.

# Characterization of Euclidean Spaces

Theorem (Stallings 1962, Luft '67, Freedman '86, Edwards '63, Perelman 2006)

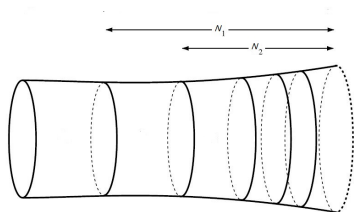
*A contractible open  $n$ -manifold ( $n \geq 3$ ) is homeomorphic to  $\mathbb{R}^n$  iff it is simply connected at infinity.*

# Characterization of Completable 3-Manifolds

## Theorem (Tucker 1974)

*A 3-manifold  $M^3$  is completable iff each component of each clean neighborhood of infinity has finitely generated  $\pi_1$ , modulo the Poincaré Conjecture.*

Independently, Husch-Price, Finding boundary for a 3-manifold, Ann. of Math. 91 (1970), 223-235. Kakimizu, Finding boundary for the semistable ends of 3-manifolds, Hiroshima Math. J. 17 (1987), 395-403.



# Characterization of Completable 1-ended Open Manifolds

$\dim \geq 6$

Theorem (Browder, Levine and Livesay 1965)

*Let  $M^m$  be a 1-ended open manifold ( $m \geq 6$ ) that is simply connected at infinity. Then  $M^m$  is completable iff  $H_*(M; \mathbb{Z})$  is finitely generated.*

# Characterization of Completable Manifolds $\dim \geq 6$

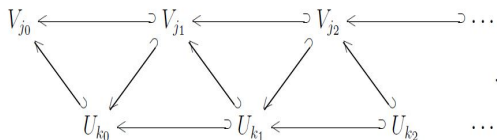
## Theorem (Siebenmann 1965)

*An  $m$ -manifold  $M^m$  ( $m \geq 6$ ) with compact (possibly empty) boundary is completable iff*

1. *pro- $\pi_1$  stable at each end of  $M^m$*
2.  *$M^m$  is inward tame*
3. *Wall obstruction  $\sigma_\infty(M^m) = 0$ .*

# Topological Justification of the pro-isomorphism Relation

Let  $U_0 \hookleftarrow U_1 \hookleftarrow \dots$  and  $V_0 \hookleftarrow V_1 \hookleftarrow \dots$  be two cofinal sequences of connected neighborhoods of infinity for a 1-ended space  $X$ . By going out sufficiently far in the second sequence, one arrives at a  $V_{j_1}$  contained in  $U_{k_0}$ . Similarly, going out sufficiently far in the initial sequence produces a  $U_{k_0} \subseteq V_{j_0}$ . Alternating back and forth produces a ladder diagram of inclusions



Applying the fundamental group functor to that diagram (ignoring base points) results in a diagram

$$\begin{array}{ccccccc}
 \pi_1(V_{j_0}) & \longleftarrow & \pi_1(V_{j_1}) & \longleftarrow & \pi_1(V_{j_2}) & \longleftarrow & \cdots \\
 & \nearrow & \searrow & \nearrow & \searrow & \nearrow & \\
 & \pi_1(U_{k_0}) & \longleftarrow & \pi_1(U_{k_1}) & \longleftarrow & \pi_1(U_{k_2}) & \longleftarrow \cdots
 \end{array}$$

The inverse sequences  $\pi_1(U_0) \longleftarrow \pi_1(U_1) \longleftarrow \cdots$  and  $\pi_1(V_0) \longleftarrow \pi_1(V_1) \longleftarrow \cdots$  are *pro-isomorphic*.

# Properties of pro-isomorphism classes of Inverse Sequences of Groups

Let  $G_0 \xleftarrow{\lambda_1} G_1 \xleftarrow{\lambda_2} G_2 \xleftarrow{\lambda_3} \dots$  be an inverse sequence of groups.

We say that  $\{G_i, \lambda_i\}$  is

- ▶ *pro-trivial* if it is pro-isomorphic to the trivial inverse sequence  $1 \leftarrow 1 \leftarrow 1 \leftarrow \dots$



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- ▶ *stable* if it is pro-isomorphic to an inverse sequence  $\{H_i, \mu_i\}$  where each  $\mu_i$  is an isomorphism, or equivalently, a constant inverse sequence  $\{H, \text{Id}_H\}$

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- ▶ *pro-monomorphic* if it is pro-isomorphic to an inverse sequence  $\{H_i, \mu_i\}$  where each  $\mu_i$  is a monomorphism.

# Characterization of 1-ended Completable Manifolds w/ noncompact Bdry

## Theorem (O'Brien 1983)

*Suppose  $M^m$  is an  $m$ -manifold ( $m \geq 6$ ) and both  $M^m$  and  $\partial M^m$  are 1-ended.  $M^m$  is completable iff*

1.  $M^m$  is peripherally  $\pi_1$ -stable at infinity,
2.  $M^m$  is inward tame,
3.  $\sigma_\infty(M^m) = 0$ ,
4. Whitehead torsion  $\tau_\infty(M^m) = 0$ .

# Manifold Completion Theorem

## Theorem (G & Guilbault 2020)

*An  $m$ -manifold  $M^m$  ( $m \neq 4, 5$ ) is completable iff*

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Figure: G(L), Siebenmann(M), Guilbault(R) 2018 at Auburn Univ.

# What's Next?

## Question (Weinberger 1994)

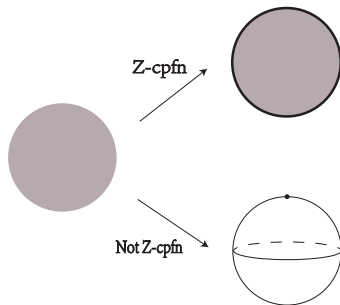
*Is there any kind of theory of nontame ends, i.e., manifolds with nonstable  $\pi_1$  at infinity?*

## $\mathcal{Z}$ -Compactification

A compactification  $\hat{X} = X \sqcup Z$  of a space  $X$  is a  $\mathcal{Z}$ -compactification if, for every open set  $U \subseteq \hat{X}$ ,  $U \setminus Z \hookrightarrow U$  is a homotopy equivalence.  $Z$  is called a  $\mathcal{Z}$ -set.

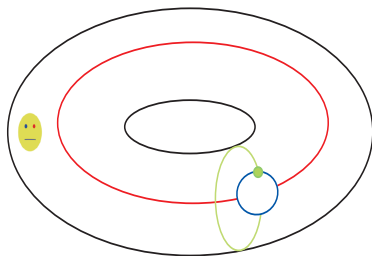
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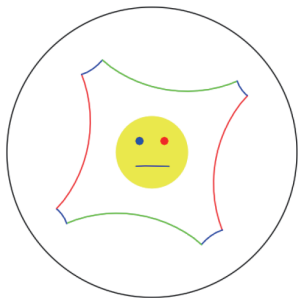
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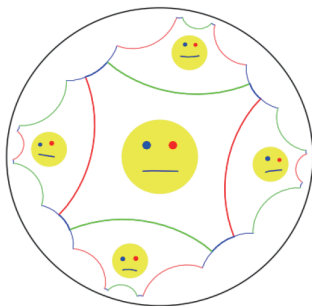


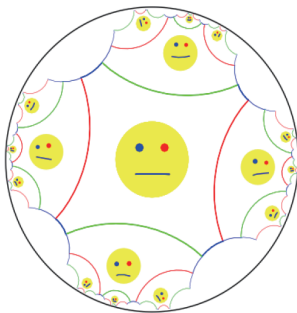


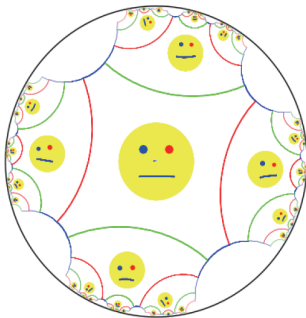
# Universal Covering Space of a Punctured Torus

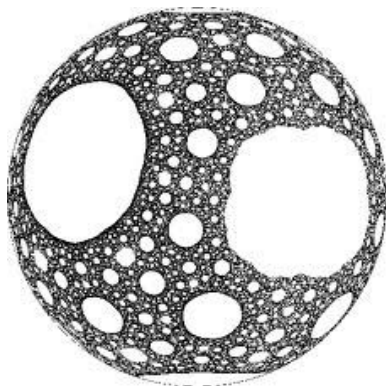












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## Question (Guilbault 2016)

*Must a closed, aspherical  $n$ -manifold ( $n \geq 4$ ) have pseudo-collarable universal cover?*



# Pseudo-collarable Manifolds

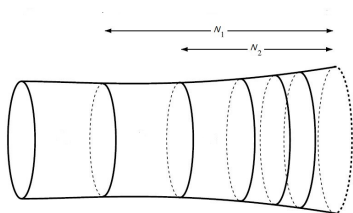
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A manifold nbhd of infinity  $N$  in a manifold  $M$  is a *homotopy collar* provided  $\text{Fr } N \hookrightarrow N$  is a homotopy equivalence. A *pseudo-collar* is a homotopy collar which contains arbitrarily small homotopy collar nbhds of infinity.



## Definition

A manifold is *pseudo-collarable* if it contains a pseudo-collar nbhd of infinity.

# Characterization of Pseudo-collarable Manifolds with Bdry

Dimension  $\leq 3$ , pseudo-collarability is equivalent to completion.

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## Theorem (G, 2020)

*An  $m$ -manifold  $M^m$  ( $m \geq 6$ ) is pseudo-collarable iff each of the following conditions holds:*

- (a)  $M^m$  is peripherally perfectly semistable at infinity,
- (b)  $M^m$  is inward tame,
- (c)  $\sigma_\infty(M^m) = 0$ .

# Perfect Semistability

A *commutator* element of a group  $H$  is an element of the form  $x^{-1}y^{-1}xy$  where  $x, y \in H$ ; and the *commutator subgroup* of  $H$ ; denoted  $[H, H]$ , is the subgroup generated by all of its commutators. The group  $H$  is *perfect* if  $H = [H, H]$ . An inverse sequence of groups is *perfectly semistable* if it is pro-isomorphic to an inverse sequence

$$G_0 \xleftarrow{\lambda_1} G_1 \xleftarrow{\lambda_2} G_2 \xleftarrow{\lambda_3} \dots$$

of finitely generated groups and surjections where each  $\ker(\lambda_i)$  is perfect.

# Manifold Completion Theorem and Characterization of Pseudo-collarable Manifolds in $\dim = 4, 5$

- ▶ In  $\dim = 5$ , both theorems are true in TOP provided  $\pi_1$  at infinity is "good" in the sense of Freedman-Quinn.

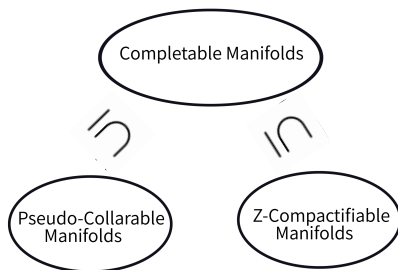
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- ▶ Both theorems fail in  $\dim = 4$ . Counterexamples are constructed by Weinberger '87 and Kwasik-Schultz '88, independently.

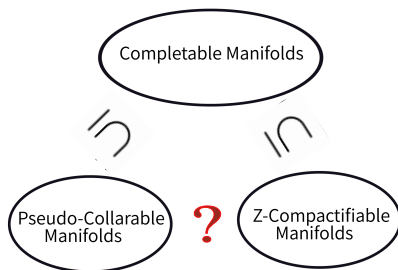


# Relationships among Completable, Pseudo-collarable & $\mathcal{Z}$ -cpfbl Manifolds

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# $\mathcal{Z}$ -compactifiability $\implies$ Pseudo-collarability?

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Ancel-Siebenmann 1985, Fischer 2003.

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**Answer:** No

Theorem (G, 2021)

*There exists infinitely many contractible  $n$ -manifold  $M^n$  ( $n \geq 4$ ) with boundary such that  $M^n$  is  $\mathcal{Z}$ -cpfbl but not pseudo-collarable.*

# Ingredient 1: Characterization of Pseudo-collarable Manifolds with Bdry

## Theorem (G, 2020)

*An  $m$ -manifold  $M^m$  ( $m \geq 6$ ) is pseudo-collarable iff each of the following conditions holds:*

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## Ingredient 2: Bing's manifold

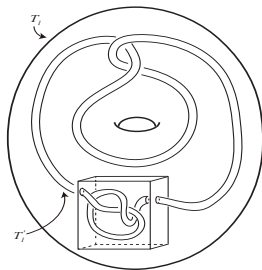


Figure: Two stages of the construction of Bing's manifold

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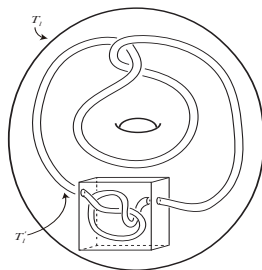


Figure: Two stages of the construction of Bing's manifold

### Theorem (G, 2021)

$W^3$  embeds as an open subset in no compact, locally connected, locally 1-connected metric 3-space. In particular,  $W^3$  embeds in no compact 3-manifolds.

# Ingredient 3: Hypoabelian Groups

## Definition

A group  $G$  is said to be *hypoabelian* if the following equivalent conditions are satisfied:

- ▶  $G$  contains no nontrivial perfect subgroup.
- ▶ The transfinite derived series terminates at the identity.

## Example

1. Abelian group
2. Solvable groups, residually solvable groups and free groups
3. Right-angled Artin group
4. The Baumslag-Solitar groups  $BS(1, n)$  are solvable, thus, hypoabelian.
5. Fibered knot group

# Hypoabelianity is Closed under Several Algebraic Operations

1. Free products of hypoabelian groups are hypoabelian.
2. Every extension of a hypoabelian group by a hypoabelian group is hypoabelian.
3. Split amalgamated free products of hypoabelian groups are hypoabelian. Howie 1979

# Hereditary of Hypoabelianity under Several Knot Operations

## Lemma (G, 2021)

1. *The group of the link complement of the Whitehead link is hypoabelian.*
2. *Let  $K_C$  be a non-trivial knot,  $K_W$  be a satellite knot and  $(V_P, K_P)$  be the pattern. Suppose the knot group of  $K_C$  and  $\pi_1(V_P \setminus K_P)$  are hypoabelian, and that the Alexander polynomial of  $K_W$  is nontrivial. Then the knot group of  $K_W$  is hypoabelian.*
3. *Let  $K_1$  and  $K_2$  be knots and  $G_1$  and  $G_2$  be the corresponding knot groups. If  $G_i$  is hypoabelian, then the knot group of  $K_1 \# K_2$  is hypoabelian.*

# Hypoabelianity and Perfect Semistability

Lemma (Guilbault-Tinsley, 2003)

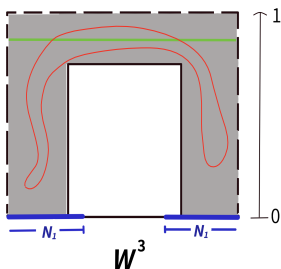
*Let*

$$G_0 \leftarrow G_1 \leftarrow G_2 \leftarrow \cdots$$

*be an inverse sequence of groups with surjective but non-injective bonding homomorphism. Suppose each  $G_i$  is a hypoabelian group. Then the inverse sequence is not perfectly semistable.*

## $W^3 \times [0, 1)$ is a counterexample

First we show that  $W^3 \times [0, 1)$  admits a  $\mathcal{Z}$ -compactification. This follows from a result of Bestvina-Mess, The boundary of negatively curved groups, J. Amer. Math. Soc. 4 (1991), no. 3, 469-481.



Second, by Guilbault-Tinsley's lemma and the characterization of pseudo-collarable manifolds, it suffices to show that the fundamental group of the end of  $W^3 \times [0, 1)$  is isomorphic to a sequence of hypoabelian groups. In our case,  $\pi_1$  at the end is isomorphic to an inverse sequence of "knot groups". That is, let  $K$  be a trefoil knot and  $G$  be the knot group  $\pi_1(S^3 \setminus K)$ ; let  $K^{Wh}$  be a twisted Whitehead double of  $K$  and  $G^{Wh}$  be the knot group of  $K^{Wh}$ ,  $\pi_1$  at the end is isomorphic to

$$G \leftarrow G^{Wh} *_\mathbb{Z} G \leftarrow (G^{Wh} *_\mathbb{Z} G)^{Wh} *_\mathbb{Z} G \leftarrow \dots$$

Applying the lemmas regarding the hereditary of hypoabelianity under knot operations to complete the proof.



## Question

*Does there exist an open manifold which is  $\mathbb{Z}$ -compactifiable but not pseudo-collarable?*

# A Big Open Question

## Question (Chapman-Siebenmann'76)

*If an  $m$ -manifold  $M^m$  ( $m \geq 6$ ) satisfies*

- 1.  $M^m$  is inward tame,*
- 2.  $\sigma_\infty(M^m) = 0$ , and*
- 3.  $\tau_\infty = 0$ ,*

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## Theorem (Ferry 2000)

*If a locally finite  $k$ -dimensional polyhedron  $X$  satisfies Conditions (1)-(3), then  $X \times [0, 1]^{2k+5}$  is  $\mathcal{Z}$ -cpfbl.*

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## Theorem (G & Guilbault, 2020)

*Let  $M^m$  be an  $m$ -manifold ( $m \geq 5$ ).  $M^m$  satisfies Conditions (1)-(3) iff  $M^m \times [0, 1]$  is  $\mathcal{Z}$ -cpfbl.*

Pseudo-collarability  $\implies$   $\mathcal{Z}$ -compactifiability?

### Question

*Does Pseudo-collarability imply  $\mathcal{Z}$ -compactifiability?*

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**Answer:** No

# Pseudo-collarability $\implies \mathcal{Z}$ -compactifiability?

## Question

*Does Pseudo-collarability imply  $\mathcal{Z}$ -compactifiability?*

**Answer:** No

## Theorem (G. in progress)

*There exists an  $n$ -manifold  $M^n$  ( $n \geq 4$ ) satisfying Conditions (1)-(3) but not admitting a  $\mathcal{Z}$ -compactification. In particular,  $M$  can be pseudo-collarable.*



Thank you for your attention.

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