## COMMUTATIVE ALGEBRA NOTES

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## 1. Introduction

In this lecture, we consider a (Noetherian) commutative ring R with identity element.

I will assume that students know about basic definitions and properties of rings, ideals, modules, morphisms (e.g. Chapter 1–3 of [1]). Our main textbook is [2]. We will cover selected topics in order to serve the lecture of geometry of syzygies ([2, Section 17–19]).

1.1. Nakayama's lemma. The Jacobson radical J(R) of R is the intersection of all maximal ideals. Note that  $y \in J(R)$  iff 1 - xy is a unit in R for every  $x \in R$ .

**Theorem 1.1** (Nakayama's lemma). Let I be an ideal contained in the Jacobson radical of R, and M a finitely generated R-module. If IM = M, then M = 0.

**Lemma 1.2.** Let I be an R-ideal and M a finitely generated R-module. If IM = M, then there exists  $y \in I$  such that (1 - y)M = 0.

*Proof.* This is a consequence of the Caylay–Hamilton theorem. Consider  $m_1, \ldots, m_n$  a set of generators in M, then there exists an  $n \times n$  matrix A with coefficients in I such that  $(m_1, \ldots, m_n)^T = A(m_1, \ldots, m_n)^T$ . Set  $\mathbf{m} = (m_1, \ldots, m_n)^T$ . Hence  $(I_n - A)\mathbf{m} = 0$ . Note that  $\mathrm{adj}(I_n - A)(I_n - A) = \mathrm{det}(I_n - A)I_n$ , we know that  $\mathrm{det}(I_n - A)\mathbf{m} = 0$ , that is,  $\mathrm{det}(I_n - A)m_i = 0$  for all i. This implies that  $\mathrm{det}(I_n - A)M = 0$ .

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**Example 1.3.** If we do not assume that M is finitely generated, this is not true. For example, consider  $R = k[[x]], M = k[[x, x^{-1}]].$ 

**Corollary 1.4.** Let I be an ideal contained in the Jacobson radical of R, and M a finitely generated R-module. If N + IM = M for some submodule  $N \subset M$ , then M = N.

*Proof.* Apply Nakayama's lemma to M/N.

Corollary 1.5. Let  $(R, \mathfrak{m})$  be a local ring and M a finitely generated R-module. Consider  $m_1, \ldots, m_n \in M$ . If  $\overline{m}_1, \ldots, \overline{m}_n \in M/\mathfrak{m}M$  is a basis (as a  $R/\mathfrak{m}$ -vector space), then  $m_1, \ldots, m_n$  generates M (which is also a minimal set of generators.)

*Proof.* Apply Corollary 1.4 to N the submodule generated by  $m_1, \ldots, m_n$ .

# 1.2. Noetherian rings.

**Definition 1.6** (Noetherian ring). A ring R is *Noetherian* if one of the following equivalent conditions holds:

- (1) Every non-empty set of ideals has a maximal element;
- (2) The set of ideals satisfies the ascending chain condition (ACC);
- (3) Every ideal is finitely generated.

In this lecture, we assume all rings are Noetherian and all modules are finitely generated for simplicity.

**Theorem 1.7** (Hilbert basis theorem). If R is Noetherian, then R[x] is Noetherian.

Idea of proof. Consider  $I \subset R[x]$  an ideal. Consider  $J \subset R$  the leading coefficients of I, then J is finitely generated. We may assume that J is generated by the leading coefficients of  $f_1, \ldots, f_n \in R[x]$ . Take I' be the ideal generated by  $f_1, \ldots, f_n$ , then it is easy to see that any  $f \in I$  can be written as f = f' + g with  $f' \in I'$  and  $\deg g < \max_i \{\deg f_i\} = r$ . So

$$I = I \cap (R \oplus Rx \oplus \cdots \oplus Rx^{r-1}) + I'$$

is finitely generated. (Check that  $I \cap (R \oplus Rx \oplus \cdots \oplus Rx^{r-1})$  is finitely generated!)

**Example 1.8.** Any quotient of polynomial ring  $k[x_1, \ldots, x_n]/I$  is Noetherian.

1.3. **Associated primes.** We will use the notion (A:B) to define the set  $\{a \mid aB \subset A\}$  whenever it makes sense. For example, if  $N, N' \subset M$  are R-modules and I an ideal, then we can define (N:I) as a submodule of M, and (N':N) an ideal. Usually the set (0:N) is denoted by  $\operatorname{ann}(N)$  and called the  $\operatorname{annihilator}$  of N, that is, the set of elements whose multiplication action kills N.

**Definition 1.9** (Associated prime). A prime P of R is associated to M if  $P = \operatorname{ann}(x)$  for some  $x \in M$ .

Associated primes are important in the primary decomposition. But here we mainly focus on its relation with zero-divisors.

**Theorem 1.10.** Let R be a Noetherian ring and M a finitely generated R-module. Then the union of associated primes to M consists of zero and zero-divisors. Moreover, there are only finitely many associated primes.

*Proof.* We want to show that

$$\bigcup_{\mathrm{ann}(x):\mathrm{prime}}\mathrm{ann}(x)=\bigcup_{x\neq 0}\mathrm{ann}(x).$$

So it suffices to show that if  $\operatorname{ann}(y)$  is maximal among all  $\operatorname{ann}(x)$ , then  $\operatorname{ann}(y)$  is prime. Consider  $rs \in \operatorname{ann}(y)$  such that  $s \notin \operatorname{ann}(y)$ , then rsy = 0 but  $sy \neq 0$ . We know that  $\operatorname{ann}(y) \subset \operatorname{ann}(sy)$ , so equality holds by maximality. This implies that  $r \in \operatorname{ann}(y)$ .

To prove the finiteness, we only outline the idea here. Denote  $\mathrm{Ass}(M)$  the set of associated primes. Then it is not hard to see that for a short exact sequence

$$0 \to M' \to M \to M'' \to 0$$
.

we have

$$\operatorname{Ass}(M') \subset \operatorname{Ass}(M) \subset \operatorname{Ass}(M') \cup \operatorname{Ass}(M'').$$

So inductively we get the finiteness.

Remark 1.11. Another fact is that if P is a prime minimal among all primes containing ann(M), then P is an associated prime.

**Corollary 1.12.** Let R be a Noetherian ring and M a finitely generated R-module. Let I be an ideal. Then either I contains a non zero-divisor on M, or I annihilated a non-zero element of M.

*Proof.* Suppose that I contains only zero-divisors on M, then by Theorem 1.10,  $I \subset \bigcup_{\operatorname{ann}(x): \operatorname{prime}} \operatorname{ann}(x)$ . So the conclusion follows from the following easy lemma.

**Lemma 1.13.** Let I be an ideal and let  $P_1, \ldots, P_n$  be primes of R. If  $I \subset \bigcup_i P_i$ , then  $I \subset P_i$  for some i.

1.4. **Tensor products and Tor.** Let M, N be R-modules, the tensor product  $M \otimes N$  is defined by the module generated by

$$\{m \otimes n \mid m \in M, n \in N\},\$$

modulo relations

$$(m+m') \otimes n = m \otimes n + m' \otimes n;$$
  
 $m \otimes (n+n') = m \otimes n + m \otimes n';$   
 $(rm) \otimes n = m \otimes (rn) = r(m \otimes n)$ 

for  $m \in M, n \in N, r \in R$ . It can be characterized by the universal property that if  $f: M \times N \to P$  is an R-bilinear map, then there exists a unique  $g: M \otimes N \to P$  such that f factors through g.

**Example 1.14.** (1)  $M \otimes R \simeq M$ ,  $M \otimes R^n \simeq M^n$ ;

- (2)  $M \otimes R/I \simeq M/IM$ ;
- (3)  $(M \otimes_R N)_P \simeq M_P \otimes_{R_P} N_P$ .

**Proposition 1.15.**  $(-\otimes N)$  is a right-exact functor. If

$$M' \xrightarrow{f} M \xrightarrow{g} M'' \to 0$$

is a exact sequence of R-modules, then

$$M' \otimes N \xrightarrow{f \otimes 1} M \otimes N \xrightarrow{g \otimes 1} M'' \otimes N \to 0$$

is exact.

**Definition 1.16** (Flat module). N is flat if  $(-\otimes N)$  is an exact functor, that is, if

$$0 \to M' \to M \to M'' \to 0$$

is a exact sequence of R-modules, then

$$0 \to M' \otimes N \to M \otimes N \to M'' \otimes N \to 0$$

is exact.

To study flatness, we need to introduce Tor from homological algebra.

**Definition 1.17** (Projective module). An R-module M is projective if for any surjective map  $f: N_1 \to N_2$  and any map  $g: M \to N_2$ , there exists  $h: M \to N_1$  such that  $f \circ h = g$ .

Example 1.18. Free modules are flat and projective.

**Definition 1.19** (Complexes and homologies). A *complex* of *R*-modules is a sequence of *R*-modules with (differential) homomorphisms

$$\mathcal{F}: \cdots \to F_{i+1} \xrightarrow{\delta_{i+1}} F_i \xrightarrow{\delta_i} F_{i-1} \to \cdots$$

such that  $\delta_i \delta_{i+1} = 0$  for each i. Denote the homology to be  $H_i(\mathcal{F}) = \ker(\delta_i)/\operatorname{im}(\delta_{i+1})$ . We say that  $\mathcal{F}$  is exact at degree i if  $H_i(\mathcal{F}) = 0$ . A morphism of complexes  $\phi : \mathcal{F} \to \mathcal{G}$  is given by  $\phi_i : F_i \to G_i$  commuting with differentials, that is, we have a commutative diagram

$$\mathcal{F}: \qquad \cdots \longrightarrow F_{i+1} \longrightarrow F_i \longrightarrow F_{i-1} \longrightarrow \cdots$$

$$\downarrow^{\phi_{i+1}} \qquad \downarrow^{\phi_i} \qquad \downarrow^{\phi_{i-1}}$$

$$\mathcal{G}: \qquad \cdots \longrightarrow G_{i+1} \longrightarrow G_i \longrightarrow G_{i-1} \longrightarrow \cdots$$

This naturally gives morphisms between homologies  $\phi_i: H_i(\mathcal{F}) \to H_i(\mathcal{G})$ .

**Definition 1.20** (Projective resolution). A projective resolution of an R-module M is a complex of projective modules

$$\mathcal{F}: \cdots \to F_n \to \cdots \to F_1 \xrightarrow{\phi_1} F_0$$

which is exact and  $\operatorname{coker}(\phi_1) = M$ . Sometimes we also denote it by

$$\mathcal{F}: \cdots \to F_n \to \cdots \to F_1 \xrightarrow{\phi_1} F_0(\to M \to 0).$$

**Definition 1.21** (Left derived functor). Let T be a right-exact functor. Given a projective resolution of an R-module M:

$$\mathcal{F}: \cdots \to F_n \to \cdots \to F_1 \xrightarrow{\phi_1} F_0 (\to M \to 0).$$

Define the *left derived functor* by  $L_iT(M) := H_i(T\mathcal{F})$ , which is just the homology of

$$T\mathcal{F}: \cdots \to T(F_n) \to \cdots \to T(F_1) \to T(F_0) (\to T(M) \to 0).$$

We collect basic properties of derived functors here.

**Proposition 1.22.** (1)  $L_0T(M) = T(M)$ ;

- (2)  $L_iT(M)$  is independent of the choice of projective resolution;
- (3) If M is projective, then  $L_iT(M) = 0$  for i > 0.
- (4) For a short exact sequence of R-modules

$$0 \to A \to B \to C \to 0$$
,

we have a long exact sequence

$$T_{A}T(A) \to L_{3}T(B) \to L_{3}T(C)$$

$$T_{A}T(A) \to L_{2}T(B) \to L_{2}T(C)$$

$$T_{A}T(A) \to L_{1}T(B) \to L_{1}T(C)$$

$$T_{A}T(A) \to T(B) \to T(C) \to 0.$$

**Definition 1.23** (Tor). For an *R*-module *N*,  $\operatorname{Tor}_{i}^{R}(-, N)$  is defined by  $L_{i}T(-)$  where  $T = (- \otimes N)$ .

Remark 1.24. So to compute  $\operatorname{Tor}_i^R(M,N)$ , we should pick a projective resolution  $\mathcal{F}$  of M and compute  $H_i(\mathcal{F} \otimes N)$ . Note that tensor products are symmetric, that is,  $M \otimes N \simeq N \otimes M$ , it can be seen that  $\operatorname{Tor}_i^R(M,N) \simeq \operatorname{Tor}_i^R(N,M)$ , and  $\operatorname{Tor}_i^R(M,N)$  can be also computed by pick a projective resolution  $\mathcal{G}$  of N and compute  $H_i(M \otimes \mathcal{G})$ .

# Theorem 1.25. TFAE:

- (1) N is flat;
- (2)  $\operatorname{Tor}_{i}^{R}(M, N) = 0$  for all i > 0 and all M;
- (3)  $\operatorname{Tor}_{1}^{R}(M, N) = 0$  for all M.

*Proof.* (1)  $\Longrightarrow$  (2): take a projective resolution  $\mathcal{F}$  of M, we need to compute  $H_i(\mathcal{F} \otimes N)$ . As N is flat,  $\mathcal{F} \otimes N$  is exact, hence  $\operatorname{Tor}_i^R(M,N) = 0$  for all i > 0.

- $(2) \implies (3)$ : trivial.
- $(3) \implies (1)$ : this follows from the long exact sequence

$$\operatorname{Tor}_{1}^{R}(M'',N) \to M' \otimes N \to M \otimes N \to M'' \otimes N \to 0.$$

2. Koszul complexes and regular sequences

# 2.1. Regular sequences.

**Definition 2.1** (Regular sequence). Let R be a ring and M an R-module. A sequence of elements  $x_1, \ldots, x_n \in R$  is called a *regular sequence* on M (or M-sequence) if

- (1)  $(x_1, \ldots, x_n)M \neq M$ ;
- (2) For each  $1 \le i \le n$ ,  $x_i$  is not a zero-divisor on  $M/(x_1, \ldots, x_{i-1})M$ .

**Definition 2.2** (Depth). Let R be a ring, I an ideal, and M an R-module. Suppose  $IM \neq M$ . The *depth* of I on M, depth(I, M), is defined by the maximal length of M-sequences in I.

Remark 2.3. (1) If M = R, then simply denote depth  $I := \operatorname{depth}(I, M)$ .

(2) We will see soon (Theorem 2.15) that any maximal M-sequence has the same length.

**Example 2.4.** If  $R = k[x_1, ..., x_n]$ , then  $x_1, ..., x_n$  is a regular sequence. We will see soon that  $depth(x_1, ..., x_n) = n$ .

Remark 2.5. The depth measures the size of an ideal, and an element in the regular sequence corresponds to a hypersurface in geometry. So a regular sequence in I corresponds to a set of hypersurface containing V(I) intersecting each other "properly". Consider for example R = k[x,y] or k[x,y]/(xy), I = (x,y).

# 2.2. Koszul complexes.

**Definition 2.6** (Complexes and homologies). A *complex* of *R*-modules is a sequence of *R*-modules with homomorphisms

$$\mathcal{F}: \cdots \to M_{i-1} \xrightarrow{\delta_{i-1}} M_i \xrightarrow{\delta_i} M_{i+1} \to \ldots$$

such that  $\delta_i \delta_{i-1} = 0$  for each *i*. Denote the *(co)homology* to be  $H^i(\mathcal{F}) = \ker(\delta_i)/\operatorname{im}(\delta_{i-1})$ .

We will introduce Koszul complexes and explain how regular sequences are related to Koszul complexes.

**Example 2.7** (Koszul complex of length 1). Given  $x \in R$ . The Koszul complex of length 1 is given by

$$K(x): 0 \to R \xrightarrow{x} R \to 0.$$

Note that  $H^0(K(x)) = (0:x), H^1(K(x)) = R/xR$ . Then x is an R-sequence if (1)  $H^1(K(x)) \neq 0$ ; (2)  $H^0(K(x)) = 0$ .

**Example 2.8** (Koszul complex of length 2). Given  $x, y \in R$ . The Koszul complex of length 2 is given by

$$K(x,y): 0 \to R \xrightarrow{\begin{pmatrix} y \\ x \end{pmatrix}} R^{\oplus 2} \xrightarrow{\begin{pmatrix} -x & y \end{pmatrix}} R \to 0.$$

Note that  $H^0(K(x,y)) = (0:(x,y))$ .  $H^2(K(x,y)) = R/(x,y)R$ . We can compute  $H^1(K(x,y))$  (Exercise). It turns out that if x is not a zero-divisor in R, then  $H^1(K(x,y)) \simeq (x:y)/(x)$ . So  $H^1(K(x,y)) = 0$  if and only if y is not a zero-divisor of R/(x). In conclusion, x,y is an R-sequence if (1)  $H^2(K(x,y)) \neq 0$ ; (2)  $H^0(K(x,y)) = H^1(K(x,y)) = 0$ .

**Theorem 2.9.** Let  $(R, \mathfrak{m})$  be a local ring and  $x, y \in \mathfrak{m}$ . Then x, y is a regular sequence iff  $H^1(K(x,y)) = 0$ . In particular, x, y is a regular sequence iff y, x is a regular sequence.

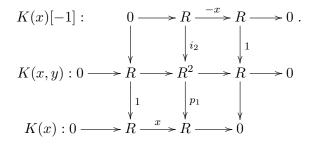
*Proof.* This is not a direct consequence of the above argument, as we need to show that x is a non-zero-divisor (equivalent to  $H^0(K(x)) = 0$ ). Write K(x,y) as the following:

$$0 \longrightarrow R \xrightarrow{x} R \longrightarrow 0$$

$$y \bigoplus y$$

$$0 \longrightarrow R \xrightarrow{-x} R \longrightarrow 0$$

Then this gives a short exact sequence of complexes



That is,

$$0 \to K(x)[-1] \to K(x,y) \to K(x) \to 0.$$

Then this induces a long exact sequences of homologies

$$H^0(K(x)) \xrightarrow{y} H^0(K(x)) \to H^1(K(x,y)) \to H^1(K(x)).$$

So  $H^1(K(x,y))=0$  implies that  $yH^0(K(x))=H^0(K(x)),$  which means that  $H^0(K(x))=0$  by Nakayama's lemma.

Corollary 2.10. Let  $(R, \mathfrak{m})$  be a local ring and  $x_1, \ldots, x_n \in \mathfrak{m}$ . Suppose that  $x_1, \ldots, x_n$  is a regular sequence, then any permutation of  $x_1, \ldots, x_n$  is again a regular sequence. (Exercise.)

We will define Koszul complexes and show this correspondence in general.

**Definition 2.11** (Exterior algebra). Let N be an R-module. Denote the  $tensor\ algebra$ 

$$T(N) = R \oplus N \oplus (N \otimes N) \oplus \dots$$

The exterior algebra  $\bigwedge N = \bigoplus_m \bigwedge^m N$  is defined by T(N) modulo the relations  $x \otimes x$  (and hence  $x \otimes y + y \otimes x$ ) for  $x, y \in N$ . The product of  $a, b \in \bigwedge N$  is written as  $a \wedge b$ .

**Definition 2.12** (Koszul complex). Let N be an R-module,  $x \in N$ . Define the *Koszul complex* to be

$$K(x): 0 \to R \to N \to \bigwedge^2 N \to \cdots \to \bigwedge^i N \xrightarrow{d_x} \bigwedge^{i+1} N \to \cdots$$

where  $d_x$  sends a to  $x \wedge a$ . If  $N \simeq R^n$  is a free module of rank n (we always consider this situation) and  $x = (x_1, \ldots, x_n) \in R^n$ , then we denote K(x) by  $K(x_1, \ldots, x_n)$ .

Remark 2.13. (1) The  $R \to N$  maps 1 to x.

(2) Consider  $N = R^2$  (with basis  $e_1, e_2$ ) and  $x = (x_1, x_2)$ , then  $\bigwedge^2 N \simeq R$  (with bases  $e_1 \wedge e_2$ ), and the map  $N \to \bigwedge^2 N$  is given by  $e_1 \mapsto (x_1e_1 + x_2e_2) \wedge e_1 = -x_2e_1 \wedge e_2$  and  $e_2 \mapsto x_1e_1 \wedge e_2$ . In other words,

$$K(x_1,x_2):0\to R\xrightarrow{\begin{pmatrix} x_1\\x_2\end{pmatrix}}R^{\oplus 2}\xrightarrow{\begin{pmatrix} -x_2&x_1\end{pmatrix}}R\to 0.$$

**Example 2.14.**  $H^n(K(x_1,\ldots,x_n))=R/(x_1,\ldots,x_n)$ . Consider the corresponding complex

$$\bigwedge^{n-1} N \xrightarrow{d_x} \bigwedge^n N \to \bigwedge^{n+1} N = 0$$

Denote  $e_1, \ldots, e_n$  to be a basis of  $N \simeq R^n$ , then the basis of  $\bigwedge^n N$  is just  $e_1 \wedge \cdots \wedge e_n$ , and the basis of  $\bigwedge^{n-1} N$  is  $e_1 \wedge \cdots \wedge \hat{e_i} \wedge \cdots \wedge e_n$   $(1 \le i \le n)$ .  $d_x$  maps  $e_1 \wedge \cdots \wedge \hat{e_i} \wedge \cdots \wedge e_n$  to  $(-1)^{i-1} x_i e_1 \wedge \cdots \wedge e_n$ . So  $\operatorname{im} d_x = (x_1, \ldots, x_n)$  and  $H^n(K(x_1, \ldots, x_n)) = R/(x_1, \ldots, x_n)$ .

2.3. Koszul complexes versus regular sequences. Now we can state the main theorem of this section.

**Theorem 2.15.** Let M be a finitely generated R-module. If

$$H^j(M\otimes K(x_1,\ldots,x_n))=0$$

for j < r and  $H^r(M \otimes K(x_1, ..., x_n)) \neq 0$ , then every maximal M-sequence in  $I = (x_1, ..., x_n) \subset R$  has length r.

*Idea of proof.* Firstly, we consider the case that  $x_1, \ldots, x_s$  is a maximal M-sequence. In this case it is natural to prove this case by induction on n and s.

In order to reduce the general case to this case, we consider  $y_1, \ldots, y_s$  a maximal M-sequence, and consider  $H^j(M \otimes K(y_1, \ldots, y_s, x_1, \ldots, x_n))$ .

So to deal with both cases, we need to investigate the relation between  $K(y_1, \ldots, y_s, x_1, \ldots, x_n)$  and  $K(x_1, \ldots, x_n)$  and the relation of their homologies.

Corollary 2.16. If  $x_1, \ldots, x_n$  is an M-sequence, then  $H^j(M \otimes K(x_1, \ldots, x_n)) = 0$  for j < n and  $H^n(M \otimes K(x_1, \ldots, x_n)) = M/(x_1, \ldots, x_n)M$ .

*Proof.* By definition, depth $(I, M) \ge n$ , so  $H^j(M \otimes K(x_1, \ldots, x_n)) = 0$  for j < n. On the other hand,

$$H^{n}(M \otimes K(x_{1},...,x_{n})) = \operatorname{coker}(M \otimes \bigwedge^{n-1} N \to M \otimes \bigwedge^{n} N)$$

$$= M \otimes \operatorname{coker}(\bigwedge^{n-1} N \to \bigwedge^{n} N)$$

$$= M \otimes R/(x_{1},...,x_{n}) = M/(x_{1},...,x_{n})M.$$

Here we use the fact that  $M \otimes -$  is right-exact.

Theorem 2.15 can be strengthen for local rings.

**Theorem 2.17.** Let  $(R, \mathfrak{m})$  be a local ring,  $x_1, \ldots, x_n \in \mathfrak{m}$ . Let M be a finitely generated R-module. If  $H^k(M \otimes K(x_1, \ldots, x_n)) = 0$  for some k, then  $H^j(M \otimes K(x_1, \ldots, x_n)) = 0$  for all j < r. Moreover, if  $H^{n-1}(M \otimes K(x_1, \ldots, x_n)) = 0$ , then  $x_1, \ldots, x_n$  is an M-sequence.

**Corollary 2.18.** If R is local and  $(x_1, ..., x_n)$  is a proper ideal containing an M-sequence of length n, then  $x_1, ..., x_n$  is an M-sequence.

*Proof.*  $H^n(M \otimes K(x_1, \ldots, x_n)) = M/(x_1, \ldots, x_n)M \neq 0$  by Nakayama's lemma. Take r minimal such that  $H^r(M \otimes K(x_1, \ldots, x_n)) \neq 0$ , then every maximal M-sequence in  $(x_1, \ldots, x_n)$  has length r, which implies that  $r \geq n$ . So  $H^{n-1}(M \otimes K(x_1, \ldots, x_n)) = 0$  and  $x_1, \ldots, x_n$  is an M-sequence.  $\square$ 

# References

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